



H3
0300 ✓

Patent
Docket No: 55871US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Richard Charles ALLEN, Christopher C. BOWLEY, Group Art Unit: 2872

Stephan John PANKRATZ, Philip Edwin WATSON

Serial No.: 09/966,557

Filed: September 27, 2001

Examiner:

For: POLARIZATION ROTATORS, ARTICLES CONTAINING THE POLARIZATION
ROTATORS, AND METHODS OF MAKING AND USING THE SAME

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

Dec. 3, 2001

[Signature]

Date

Signature

COMPLETION OF FILING REQUIREMENTS

Box Missing Parts
Commissioner for Patents
Washington, DC 20231

Dear Sir:

This is in response to the NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION mailed on October 25, 2001. Part 2 of this form is
enclosed.

No original declaration or oath was filed and enclosed is the original declaration for
this application. The above-identified application is the application, which the inventors
executed by signing the enclosed Declaration, Power of Attorney; and Petition.

Please charge the fee provided in 37 C.F.R. § 1.16(e) to Deposit Account No. 13-3723.
Please also charge any additional fees or credit any overpayment to Deposit Account No. 13-
3723. One copy of this sheet marked DUPLICATE is also enclosed.

Registration Number 41,622	Telephone Number 651-736-3366
Date December 3, 2001	

Office of Intellectual Property Counsel
3M Innovative Properties Company
P.O. Box 33427
St. Paul, Minnesota 55133-3427
Facsimile: (651) 736-3833

Respectfully submitted,

By

[Signature]
Bruce E. Black

**COPY OF PAPERS
ORIGINALLY FILED**



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/966,557	09/27/2001	Richard Charles Allen	55871US002

CONFIRMATION NO. 4597

FORMALITIES LETTER



OC000000006970793

Office of Intellectual Property Counsel
3M Innovative Properties Company
PO Box 33427
St. Paul, MN 55133-3427

Date Mailed: 10/25/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

COPY OF PAPERS
ORIGINALLY FILED

09966557

00000106 133723

01/14/2002 HN00R1

130.00 CH

01 FC:105